

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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*Elizabeth A. Jue*  
Elizabeth A. Jue

Applicant : James Uberti  
Application No. : 09/846,927  
Filed : April 30, 2001  
Title : ON-LINE BASED FINANCIAL SERVICES METHOD  
AND SYSTEM UTILIZING BIOMETRICALLY  
SECURED TRANSACTION FOR ISSUING CREDIT  
Grp./Div. : 2164  
Examiner : Not Known  
Docket No. : 45099/DRK/U345

LETTER IN RESPONSE TO NOTICE TO  
FILE MISSING PARTS OF APPLICATION

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

P.O. Box 7068  
Pasadena, CA 91109-7068  
July 9, 2001

Commissioner:

In response to the Notice to File Missing Parts of Application dated **June 27, 2001**, enclosed are:

1. Copy of Notice to File Missing Parts of Application.
2. Missing Parts surcharge for \$65.00.
3. Executed Declaration and Power of Attorney.

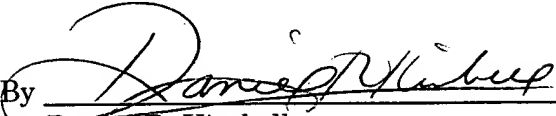
The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **pendency** of this application to Deposit Account No. 03-1728.

**Application No. 09/846,927**

Please show our docket number with any charge or credit to our Deposit Account. **A copy of this letter is enclosed.**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By   
Daniel R. Kimbell  
Reg. No. 34,849  
626/795-9900

DRK/eaj

Enclosures

EAJ PAS363520.1.\*-7/9/01 12:01 PM



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/846,927	04/30/2001	James Uberti	45099/DRK/U345

CONFIRMATION NO. 3657

23363  
CHRISTIE, PARKER & HALE, LLP  
350 WEST COLORADO BOULEVARD  
SUITE 500  
PASADENA, CA 91105

## FORMALITIES LETTER



\*OC000000006231263\*

Date Mailed: 06/27/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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